



SMITH & WESSON COLLECTORS ASSOCIATION, INC.

CONSTITUTION AND POLICY STATEMENTS

<u>DOCUMENTS INCLUDED</u>	<u>PAGE</u>
CONSTITUTION	2
PUBLICATIONS POLICY	8
GUESTS AND VISITORS POLICY	9
DISPLAY AND JUDGING POLICY	10
ANNUAL MEETING HOST HOTEL REGISTRATION POLICY	11
ETHICS POLICY	12
DUES POLICY	13
DISPLAY AND EXPENSE REIMBURSEMENT POLICY FOR THE NRA ANNUAL MEETING	14
MISCELLANEOUS POLICIES	15

**CONSTITUTION
OF THE
SMITH AND WESSON COLLECTORS ASSOCIATION, INC.**

PREAMBLE

This organization has been established solely for the promotion and perpetuation of the collection of Smith & Wesson products. Its membership and financial resources shall be utilized exclusively for the purpose of preserving the past, enhancing the present and insuring the future of the collection of Smith & Wesson products. .

**ARTICLE I
NAME**

The name of the organization shall be: The Smith and Wesson Collectors Association, Inc. The organization is incorporated as a not for profit corporation under the laws of the State of Kansas.

Herein, The Smith and Wesson Collectors Association, Inc. shall be referred to as "S&WCA" or "the Association".

**ARTICLE II
PURPOSE**

The purpose for which the Association is organized is to promote the collection and preservation of Smith & Wesson products, accessories and related historical documents. Activities which serve this purpose shall include, but not be limited to:

- A. Meetings for the transaction of Association business.
- B. Shows for member display of Smith & Wesson products and accessories for sale, exchange or study purposes.
- C. Encouragement of historical research and study.
- D. Promotion of authorship for publication of literary works related to the design, development and use of Smith & Wesson products.
- E. Generally providing a structure for the exchange of information and experiences regarding Smith & Wesson products collecting.
- F. Promotion of collection, possession and use of firearms and their accessories.

**ARTICLE III
MEMBERSHIP**

A. Prospective Members are individuals who have submitted a completed application for membership and one year annual dues as per the Association Dues Policy. The name and address of a prospective member will be published in a S&WCA publication for the general membership, and if no objections are filed with the President or the Board of Directors or their designated representative within sixty (60) days, the individual will be accepted for probationary membership. Although a new member shall be considered a "probationary" member during his/her first year of membership, such member shall have all the rights and privileges of a regular member during this period. Membership numbers will be assigned as applications are received and will not be re-assigned to new members.

B. Regular Members are individuals who have made application, been accepted by the Board of Directors, and completed a one-year probationary period. Regular Members may pay dues on either an annual or five-year basis, as per the Association Dues Policy.

C. Life Members are individuals who have completed at least five consecutive years of regular membership, have made application for Life Member status to the Board of Directors and have been accepted by the Board. They shall pay "one-time" Life membership dues as per the Association Dues Policy. The number of Life Members shall be limited to a percentage of the total active membership, which percentage shall be determined by the Board of Directors and may be adjusted at the discretion of the Board.

D. Honorary Members are individuals to whom the Board of Directors has extended honorary status. This membership may be continued or revoked at the discretion of the Board. Honorary Members do not pay dues, but will pay the same fees for Association meetings and services as any other member.

E. Suspension or Termination of Membership

1. Regular membership will be terminated for non-payment of dues as per the Association Dues Policy. Upon reinstatement, the original membership number may be retained by the reinstated member.

2. Any membership may be temporarily suspended or terminated by a two-thirds majority vote of the Board of Directors when continued membership is deemed to not be in the best interest of the Association. Any decision is the sole judgment of the Board and is final.

**ARTICLE IV
OFFICERS**

A. The officers shall be Chairman of the Board, President, Vice President, Secretary and Treasurer.

1. Chairman of the Board:

The Chairman shall be elected by two-thirds majority of the Board of Directors and shall serve until a replacement is elected. The Chairman shall preside over all meetings of the Board of Directors, shall have draft authority in the absence of the Treasurer and shall be a member of the Election Committee. The Chairman may call special meetings of the Board at his discretion.

2. President:

The President shall be elected by the membership and will have full responsibility for the operation of the Association.

The President shall inform the Board of Directors of all scheduled meetings and may call special meetings of the Board of Directors at his discretion. The President shall notify the general membership of Association Annual Meetings (a/k/a "Symposiums") and preside over such meetings.

All legal commitments entered into by the Association must have the President's signatory approval or that of his designated representative.

The President shall be a member of the Election Committee and may appoint other committees as needed. The President may also appoint members of the Board of Directors to specific duties and responsibilities. The President, with the approval of the Board of Directors, shall appoint members to fill any mid-term vacancies among Officers or other Directors.

3. Vice President:

The Vice President shall be elected by the membership and will perform all duties of the President in his absence. The Vice President shall be in charge of display and judging procedures and security at the Annual Meeting and Show (Symposium). Annually, he shall appoint 4 members (one to serve as an alternate) to serve as the Display and Judging Committee to administer the Display & Judging Policy and to serve as Display judges.

4. Secretary:

The Secretary shall be elected by the membership and shall have full responsibility for taking minutes for each Board and Annual Business Meeting. The Secretary shall record, using an automatic recording device (notwithstanding the taking of notes), all business conducted at meetings, and will retain such recordings until the written minutes of the meeting have been delivered to all voting members of the Board. The Secretary shall provide all members of the Board of Directors with written minutes of each Board meeting within sixty (60) days of the meeting. The Secretary shall provide minutes of the Annual Business Meeting for publication in the S&WCA Journal or Newsletter.

The Secretary will issue membership cards upon receipt of dues. Funds received shall be forwarded to the Treasurer in a timely manner.

5. Treasurer:

The Treasurer shall be elected by the membership and shall have primary draft authority.

The Treasurer shall be responsible for the collection of all accounts receivable, for the timely payment of all authorized accounts payable, and for keeping detailed records of all financial transactions. He may engage others to assist him in this endeavor.

The Treasurer shall have responsibility for managing all funds and securities which are the property of the Association and shall prepare an Annual Report for presentation at the Board of Directors meeting and the Annual Business Meeting of the general membership. Upon request, the Treasurer will provide an interim report on the status of Association funds to the Chairman or the President.

B. The duties of any of the officers may be delegated to an administrator, administrative assistant or other staff whenever appropriate and advisable.

ARTICLE V EXECUTIVE COMMITTEE

A. The Executive Committee shall consist of all past presidents except the two most recent. It shall be chaired by the third most recent past president.

B. This Committee has responsibility for:

1. Gathering and evaluating suggestions and other information voiced or otherwise submitted by members for presentation to the Board of Directors as is deemed appropriate by this committee.

2. Any other responsibilities as may be assigned by the President.

ARTICLE VI BOARD OF DIRECTORS

The Board of Directors shall consist of the Chairman, the Officers (President, Vice President, Secretary and Treasurer) an additional five (5) elected members and the two (2) most recent Past Presidents of the Association, all of whom must be members in good standing. Each member of the Board shall be entitled to one (1) vote.

The Board of Directors shall meet upon call of the President or Chairman of the Board. The Board of Directors shall determine all questions of policy and shall issue, at their discretion, written Policy Statements designed to advise the membership as to those operating policies that have been adopted by the Board.

Members of the Board of Directors may be appointed to committees or other specific duties and responsibilities by the President.

Past Presidents, other than the two (2) most recent, will be deemed to remain Honorary Members of the Board of Directors and may attend and participate in all regular meetings of the Board. These Honorary members of the Board shall serve only in a non-voting advisory capacity. Any S&WCA Past President may be elected to a new term as a regular member of the Board of Directors.

ARTICLE VII MEETINGS

A. There shall normally be one membership meeting, herein referred to as the Annual Business Meeting, of the Association per year, to be scheduled and held when and where the Board of Directors decides. This meeting and corresponding show shall be closed to all non-members except invited speakers, museum representatives, and those covered by the Guests and Visitors Policy. Special membership meetings or membership referendums may be called by a majority vote of the Board of Directors.

B. There shall normally be one regular meeting of the S&WCA Board of Directors per year for the purpose of transacting business of the Association. Special meetings of the Board of Directors may be called by the Chairman or the President. Board business may be conducted by any means of communication that the Board deems appropriate.

C. Regional shows and chapters may be sanctioned by the Board of Directors. The sponsors of such shows shall conduct such shows in accordance with Rules of Exhibition outlined in Article XII. Participants at such gatherings shall be encouraged to report on details of the show which might be of interest to the membership for purposes of publication in the Journal or the Newsletter. No official S&WCA business may be conducted at regional shows or meetings.

ARTICLE VIII QUORUM

A. Twenty-five percent (25%) of the membership, or fifty (50) voting members in good standing, whichever is the lesser, will constitute a quorum for transaction of S&WCA business at any regular or any special membership meeting, such as the Annual Business Meeting.

B. A quorum for the transaction of business at a regular or special meeting of the Board of Directors will consist of seven (7) Board members.

ARTICLE IX ELECTIONS

A. The general membership shall, by mail ballot on an annual basis, elect the following officeholders to serve for the year following the annual meeting: President, Vice President, Secretary and Treasurer. They will elect one (1) new Director, who shall serve five (5) years. The four (4) previously elected Directors may become officers, or with written notice to the Chairman, decline an office.

B. An Election Committee comprised of the Chairman of the Board, President and the two most recent Past Presidents shall serve as the nominating committee and shall have total responsibility for nominations and for preparing the election ballot. Ballots shall be mailed at least sixty (60) days prior to the annual meeting. Only members in good standing shall receive ballots. Ballots shall be mailed with a self-addressed (no postage affixed) envelope, plainly marked as "Ballot" return envelopes. These ballots shall remain unopened and forwarded to the Election Committee for tally prior to the annual meeting.

C. Mid-term vacancies among elected Officers or other Board members shall be filled by interim appointment by the President, if approved by majority vote of the Board of Directors.

ARTICLE X STAFF OR CONTRACTED SERVICES

The President, with the approval of two-thirds of the members of the Board of Directors, may employ, retain or contract for the services of such staff and services as may be deemed necessary for the proper functioning of the Association. Staff positions may include administrators, administrative assistants, bookkeepers or clerical workers. Other contracted services may include editorial, legal, accounting, advertising, publishing, security or any other services deemed advisable. Reasonable fees for these services will be negotiated and determined by the President.

The scope and limitations upon the duties, responsibilities and authority of such personnel shall be as designated by the President, subject to the review and concurrence of a majority of the Board of Directors. The President, with prior approval or subsequent ratification of a majority of the Board of Directors, shall also have sole authority to modify, revoke or revise assigned duties, and to discharge, suspend or terminate such personnel where deemed appropriate or in the best interest of the Association.

ARTICLE XI DUES

Dues shall be set as recommended by the Board of Directors and ratified by a majority vote of the members attending the membership meeting.

ARTICLE XII RULES OF EXHIBITION

A. Any member who has made the required prior arrangements for space may display at any regularly scheduled meeting of the Association. In the event of limited facilities, the Association may limit the amount of space available to each member at its discretion. The Board of Directors may establish any Rules of Exhibition as may be deemed appropriate to protect the reputation and quality of S&WCA sponsored show/meetings. All members shall be expected to comply fully with such rules as a condition of attendance at any show/meeting.

B. Basic Rules of Exhibition at Show/Meetings:

1. No member or other person shall display or show a loaded firearm.
2. No member or other person shall place any cartridge or charge in the chamber, cylinder, or magazine of any firearm at the show/meeting premises. Authorized security force members retained by the Association are, however, permitted to bear loaded side arms in the performance of their duties.
3. No member or other person shall bring on the premises any explosives or powder other than in the form of small arms ammunition.
4. No member or other person shall bring on the premises any dangerous substance or device that is sensitive to detonation in any other way than by discharging in small arms.
5. All persons entering the show hall or meeting room must be identified by a show badge issued at the door by the S&WCA Vice-President or his designated representative. Failure to comply shall cause security to remove said person(s) from the show hall or meeting room.
6. All S&WCA members who display or show "for sale" items shall vouch for the authenticity and originality of said items and accordingly identify any gun that to their knowledge has been reworked, refinished or engraved by someone other than the factory or the factory approved representatives. Falsifying the correct description of any item described herein shall be cause for the Board of Directors to suspend or terminate the membership of said person. As collectors, we do not subscribe to the theory "Buyer Beware".
7. Any display or portion thereof not adhering to the S&WCA Display & Judging policy or deemed to be inappropriate and/or not in the best interest of the Association shall be removed from the show/meeting premises. This decision shall be made at the sole discretion of the Association officers and shall be final.

ARTICLE XIII PENALTY FOR RULES VIOLATION

A. Any officer or member of the Board of Directors may order any member, guest or other person who has violated the Smith & Wesson Collectors Association Rules of Exhibition or Display and Judging Policy to immediately remove the offending item(s) or the offending exhibit from the premises. Such violation shall be reported to the Board of Directors who may suspend the membership of the individual.

B. Any member who has for such reason been suspended from membership may appeal in writing to the President, who will present such written appeal for the consideration of the Board of Directors. The appellant may, at the discretion of the Board, be permitted to attend and present his appeal in person at the next regular Board meeting. The Board of Directors may remove the suspension by two-thirds vote. The decision of the Board of Directors shall be final in all such matters.

ARTICLE XIV AMENDMENTS

Amendments to this Constitution may be introduced by any member in good standing. Proposed amendments should be submitted in writing to the Board of Directors, Attention of the President, at least ninety (90) days prior to a scheduled regular meeting.

The Board of Directors must approve by two-thirds majority any amendment before it can be voted upon by the membership present at any regular meeting. Amendments disapproved by the Board of Directors may gain access to the floor by petition to the Board of Directors. Such a petition must be signed by a minimum of fifty (50) members, or at least twenty-five percent (25%) of those members in good standing present at a regular general membership meeting where a quorum is present. Approval of the amendment must be by two-thirds majority of the attending members.

SMITH & WESSON COLLECTORS ASSOCIATION PUBLICATIONS POLICY

Publications will be sent to all members in good standing as soon as is practical after printing. Publications shall include, but not be limited to, the following:

1. The Smith & Wesson Collectors Association Journal is the official publication of S&WCA and contains technical and/or historical articles along with information regarding Association activities and meetings. Association members are responsible for and are strongly encouraged to provide material for publication. All members are strongly encouraged to submit any items, regardless of size, that might be of interest to the membership. Advertisements from members only may be published.

2. The Smith & Wesson Collectors Association Newsletter is a smaller, less expensive publication that provides information on S&WCA activities, short articles and member advertisements.

Due to limits on availability of materials, special publishing projects and other time and resource constraints, the Journal and the Newsletter will be produced at the discretion of the Chairman and editorial assistants.

3. The S&WCA Roster is a listing of the names and addresses of members who have elected to be so listed. This roster is **CONFIDENTIAL** and may not be given to any non-member, to a member not listed in the Roster or utilized for any commercial purpose. Disregard of the rule of confidentiality of the roster is cause for expulsion from S&WCA.

4. Classified advertisements are loose page inserts mailed with the Journal or Newsletter. They will be accepted for Smith & Wesson collectibles only, and are available to both members and non-members. S&WCA reserves the right to refuse any advertising deemed to be inappropriate or not in the best interest of the Association.

5. Other items – S&WCA may publish and distribute information packets, flyers or any other materials as are deemed necessary to the function of the Association or in the best interest of the membership.

SMITH & WESSON COLLECTORS ASSOCIATION

GUESTS AND VISITORS POLICY

AS REVISED BY THE BOARD OF DIRECTORS
June 1, 2016

DEFINITIONS:

GUEST –

1. A non-member individual who is invited by an S&WCA member to visit the Exhibit Hall (gun room) during a S&WCA Symposium at no cost shall be considered a **Guest**.
2. A **Guest's** visit shall be limited to two-hour duration and on either the second- or third-day of the Symposium's schedule (currently, either Thursday or Friday, respectively).
3. A **Guest** does not have to "pre-register" before the event to visit the Symposium Exhibit Hall, but the **Guest's** sponsoring member shall be responsible for obtaining an temporary attendance "badge" at the Symposium's Registration Desk for his **Guest** upon entering the Exhibit Hall. Such "badge" shall be worn in a prominent manner by the **Guest** at all times while in the Exhibit Hall. Upon leaving the Exhibit Hall, such "badge" shall be returned to the Registration Desk.
4. A **Guest** will not be charged a fee for visiting the Symposium Exhibit Hall as described above, but may be charged for the various provided "social functions" the **Guest** desires to attend; these consist of the cocktail party, awards banquet and Sunday breakfast. "Social functions" will not include sales or display tables or other "Full-Meeting Member" provided benefits ("goodie bags," etc.).
5. The stated objective of this (**Guest**) policy is to allow S&WCA members to escort relatives, co-workers, friends or others who are not otherwise directly interested in collecting S&W products for a guided tour through the Symposium's Exhibit Hall.

VISITOR -

1. A non-member individual, other than a member's spouse or child under 18 years of age, who has been invited by an S&WCA member to attend all or part of the Symposium for a fee shall be considered a **Visitor**.
2. A **Visitor's** attendance at the Symposium shall be limited to either the entire duration ("**Full-Meeting**") of the Symposium or for "**One-Day**" of the Symposium; the "day" of which shall be chosen by the **Visitor's** S&WCA member sponsor.
3. The charge for a "Full-Meeting" **Visitor** (attending the entire Symposium) will be determined annually by the Treasurer. This charge will include participation in the "social functions" of the Symposium which consists of the cocktail party, awards banquet and Sunday breakfast. "Social functions" will not include sales or display tables or other "Full-Meeting Member" provided benefits ("goodie bag," etc.).
4. The charge for a "One Day" **Visitor** will be determined annually by the Treasurer and will not include the provided "social functions" (cocktail party, awards banquet, Sunday breakfast), attendance to which may be purchased separately in a manner similar to a "**Guest**" above.
5. A **Visitor** must be "pre-registered" by the sponsoring member in a manner similar to the registration of an attending member (i.e. by use of the S&WCA Symposium Registration Form provided to each member approximately 90-days prior to the beginning of the Symposium). The **Visitor's** sponsoring member shall be responsible for obtaining the pre-prepared attendance badge at the Symposium's Registration Desk for his **Visitor** upon entering the Exhibit Hall. Such "badge" shall be worn in a prominent manner by the **Visitor** at all times while attending the Symposium.
6. The stated objective of this (**Visitor**) policy is to allow S&WCA members to invite friends, relatives, co-workers and others to attend the Symposium for a longer period of time in order for them to learn of the advantages of becoming a collector of S&W products and to participate as a member or the S&WCA.

RULES GOVERNING GUESTS AND VISITORS

1. Guests and Visitors must be approved by the Chairman of the Board, Association officer or the Association Administrator in advance of their attendance at the Symposium. The Association reserves the right to refuse admittance to any Guest or Visitor whose presence would not be in the best interest of the Association. Unanticipated Guests and Visitors will not be granted admission until required approval has been obtained.
2. Guests and Visitors are the responsibility of the inviting S&WCA member. Obtaining a show ID badge for the day(s) the person is attending, seeing that the badge is returned upon leaving the show and the conduct of the invited person while attending are responsibilities of the inviting member.
3. Guests and Visitors shall not be eligible for sales or display tables or other "Full-Meeting Member" attendee benefits, nor will they be permitted to conduct any business transactions at the Symposium.
4. Although all S&WCA members are responsible for the enforcement of S&WCA rules during any S&WCA Symposium, the enforcement of the "Guest and Visitor Policy" shall primarily be the responsibility of the S&WCA member sponsoring a Guest or Visitor.

SMITH & WESSON COLLECTOR ASSOCIATION DISPLAY AND JUDGING POLICY

AS REVISED BY THE BOARD OF DIRECTORS December 17, 2018

- A. It shall be the responsibility of the S&WCA Display and Judging Committee ("Committee") to implement this policy with respect to all displays and for the judging of awards with respect to those displays presented at S&WCA Symposiums. The S&WCA Vice-President shall be the Chairman of the Committee and will be responsible for the selection of the members of the Committee at the beginning of each S&WCA Symposium.
- B. S&WCA Display Categories:
- I. At the annual S&WCA Symposium, the following seven display categories shall be presented to be voted on by the display judges except as otherwise noted:
1. **Novice** (first time displayers).
 2. **Intermediate** (one of more displays presented at past symposiums, no award won) (see "II" below).
 3. **Advanced** (one or more awards won at past symposiums).
 4. **Best Single Firearm or Related Pair** (category independent).
 5. **Non-Firearms Display** (category independent).
 6. **Founders Award** (category independent). Best of Show and an opportunity to represent the S&WCA at the annual meeting of the National Rifle Association). (see "C. III." below for category specific voting rules/criteria).
 7. **Best Creative Display** (category independent). (see "C. IV." below for category specific voting rules/criteria).
- II. A member in the Intermediate category stays in that category until an award is won, whereupon the member moves to the Advanced category.
- III. The Founders Award may be won by a member multiple times without a time limit between wins, but the displays winning the award must be fundamentally different each time.
- C. Judges and judging:
- I. The Display & Judging Committee Chairman shall select a panel of three (3) judges and, when necessary, one (1) alternate to be selected at the Symposium. The alternate replaces a judge who may also be displaying to vote on that judge's respective display. The judges so selected will also comprise the membership of the S&WCA Display & Judging Committee, membership of which shall terminate at the end of the current Symposium.
- II. With the exception of the **Founders Award** and the **Best Creative Display** (see **S&WCA Display Categories**, above) the panel of judges will determine the winner in each display category using a point system with a combined tally of votes for each of the categories.
- III. For the **Founders Award** an additional "judge" will be comprised of an "at large" combined ballot vote of each of the "Full-Meeting" attending S&WCA members thereby making a total "four vote" tally for this award (three Committee "panel" judge votes plus one "at large 'Full-Meeting Attendee' members" combined vote).
- IV. The **Best Creative Display** will be determined by a ballot vote of "full-meeting" attending members' **spouses** who, themselves, are not S&WCA members.
- D. Judging Criteria:
- I. The criteria below will be used to judge displays. The criteria are being provided so each member wanting to display can use them in developing their presentation.
- II. "**Regular Display Criteria**" to be used to Judge all Displays except **Best Creative Display** (Scale of 1 to 10):
1. **Title and labels:** Well presented title—is it clear with good reference to the display?
 2. **Appeal:** How well does the display relate to the title?
 3. **Related items:** Are all pieces of the display put together in a manner that is easy to understand and clear in overall message?
 4. **Condition:** Unfired guns must have a very high condition; firearms with a high amount of use should have the reason stated when possible (use by law enforcement, military, etc.).
 5. **Rarity of the Items Displayed:** Production and collector demand; rare barrel lengths, finish, etc.
 6. **Collector importance:** Historical significance/provenance.
- III. "**Best Creative Display**" Judging Criteria
- The criteria to be used by the each "Full-Meeting Attendee" member's attending non-member spouse to judge the "**Best Creative Display**" will include the "Regular Display Criteria" above with the additional criteria of **Clarity**: (Is the display designed with good materials and proportions, good use of color with an easy progressive flow of information?)
- E. Prizes may be presented to members of any or all of the awards at the discretion of the Board of Directors. A "Display" lapel pin shall be awarded to any member who has displayed a total of five (5) times at S&WCA Symposiums or have published five (5) articles in the S&WCA Journal or any combination thereof. An "Advanced Display" lapel pin shall be awarded to any member who has displayed a total of fifteen (15) times or have published fifteen (15) articles in the Journal or combination thereof, with a minimum of seven (7) displays at S&WCA Symposium comprising the total.
- F. No display or materials on a table shall be covered from view at any time.
- G. No tables shall be of mixed purpose. Designated display tables shall contain display materials only. Designated sales tables shall contain no display materials.
- H. Display and sales material shall be limited to those directly related to Smith & Wesson and deemed to be appropriate by the Display and Judging Committee.
- I. It is the stated policy of this organization, effective at any S&WCA function, that no member, visitor or guest shall touch or pick up any displayed item without the permission of the person displaying, and thus not to handle at all if the table or display is unattended. It is the responsibility of the members to remind all who attend S&WCA functions about this policy.

SMITH & WESSON COLLECTORS ASSOCIATION ANNUAL MEETING HOST HOTEL REGISTRATION POLICY

**AS ADOPTED BY THE BOARD OF DIRECTORS
JUNE 3, 2015**

When the Association selects a host hotel for the annual meeting, the hotel contract generally provides the display and meeting rooms at no charge. This is in exchange for our guarantee that our members will rent a specific number of rooms at this hotel. Failure to meet our contractual requirements for rooms rented through S&WCA results in substantial monetary penalties being assessed to the Association.

This shortage of credit for rooms rented is caused by several factors, including:

1. Members not staying at the host hotel.
2. Members who did not make reservations directly at the host hotel through S&WCA.
3. Members who registered at the host hotel after the hotel's stated cutoff date.

In order that all members attending the annual meeting share in the cost of providing the display and meeting rooms, the Association has now implemented the following policy:

\$200 will be added to the registration fee for the annual meeting to any "Full-Meeting" attendee who does not use the Host Hotel's accommodation for the entire time the attendee actually attends the Symposium. This additional fee shall not apply if the attendee:

1. Provides their room registration number, as issued by the host hotel, on the S&WCA meeting registration form by the deadline date and complies with the "attendance" requirement above, or
2. Lives within 75 miles of the host hotel.

The Association will obtain room reservation lists from the host hotel to compare to the meeting and registration forms.

The effect of this change will be that any member who registers directly with the host hotel on a timely basis and complies with the Host Hotel "accommodation" requirement, or lives within 75 miles of the host hotel, will see no immediate increase in registration costs.

SMITH & WESSON COLLECTORS ASSOCIATION ETHICS POLICY

**AS ADOPTED BY THE BOARD OF DIRECTORS
JUNE 6, 2007**

A member of the S&WCA can file an official complaint with the S&WCA Administrator. The complaint must be in writing and state clearly the nature of the complaint, the party or parties involved, and provide any supporting documentation (i.e. emails, receipts, photographs, etc.).

The S&WCA Administrator will forward the material received to the S&WCA Chairman. If the Chairman decides further action is warranted, he will appoint two members of the Board of Directors to conduct an investigation into the complaint. The Chairman may use his discretion in selecting Board members who are best suited for performing the investigation. Consideration may be given to relationships with the parties involved, geographic proximity, familiarity with the circumstances, or any other factors that would make them an appropriate selection. If the Chairman decides that no action is warranted, he will advise the complainant in writing of his decision.

The results of any investigation will be provided in writing to the Chairman for his review and approval. If the results support the allegation(s), a recommendation for the appropriate action must be included in the report. Following approval by the Chairman, if the report includes any recommendations of disciplinary action, it will be provided to all members of the Board of Directors for discussion and approval. If no disciplinary action is recommended, the Chairman will carry out any other recommendations as specified. Following approval of the report by the Chairman, and if necessary, the Board of Directors, the parties involved may be notified of the results in writing by the Chairman.

If the results of the investigation do not support the allegation(s) made, the parties involved will be notified in writing that further action by the S&WCA is not warranted and the matter is closed.

The S&WCA Administrator will maintain a comprehensive file of complaints and responses for (potential) future reference.

In addition, the NRA guidelines (as listed below) shall be a part of the S&WCA ethics policy.

NATIONAL RIFLE ASSOCIATION CODE OF ETHICS FOR GUN COLLECTORS AND DEALERS

'A listing of practices considered unethical and injurious to the best interests of the collecting fraternity.'

1. The manufacture or sale of a spurious copy of a valuable firearm. This shall include the production of full scale replicas of historic models and accessories, regardless of easily effaced modern markings, and it also shall include the rebuilding of any authentic weapon into a rarer and more valuable model. It shall not include the manufacture or sale of firearms or accessories which cannot be easily confused with the rare models of famous makers. Such items are: plastic or pottery products, miniatures, firearms of original design, or other examples of individual skill, plainly stamped with the maker's name and date, made up as examples of utility and craftsmanship and not representative of the designs or models of any old-time arms maker.

2. The alteration of any marking or serial number, or the assembling and artificially aging of unrelated parts for the purpose of creating a more valuable or unique firearm, with or without immediate intent to defraud. This shall not include the legitimate restoration or completion of missing parts with those of original type, provided that such completions or restorations are indicated to the prospective buyer.

3. The refinishing (bluing, browning or plating) or engraving of any collectors weapons, unless the weapons may be clearly marked under the stocks or elsewhere to indicate the date and nature of the work, and provided the seller unequivocally shall describe such non-original treatment to a buyer.

4. The direct or indirect efforts of a seller to attach a spurious historical association to a firearm in an effort to inflate its fair value; efforts to "plant" a firearm under circumstances which are designed to inflate the fair value.

5. The employment of unfair or shady practices in buying, selling or trading at the expense of young and inexperienced collectors or anyone else; the devious use of false appraisals, collusion or other sharp practices for personal gain.

6. The use of inaccurate, misleading or falsified representations in direct sales or in selling by sales list, catalog, periodical advertisement and other media; the failure to make prompt refunds, adjustments or other proper restitution on all just claims which may arise from arms sales, direct or by mail.

SMITH & WESSON COLLECTORS ASSOCIATION DUES POLICY

**AS ADOPTED BY THE BOARD OF DIRECTORS
JUNE 14, 2017**

Dues will be levied and become payable as of January 1 of each calendar year. The amount of the dues is determined by the Board of Directors.

Dues and Fees:

1. An initiation fee of \$10.00 will be levied against new members at the time of application. This fee shall be in addition to that year's regular dues.
2. Regular member – annual dues \$50.00 per year, due as of January 1.
3. Five (5) year supporting member – After an individual has been a member of the Association for one year, that individual may pay for four years membership in advance and obtain a fifth year of membership free of charge. Currently, to those individuals, this results in a cost of \$200.00 for five (5) years regular membership.
4. Life membership - \$1,000.00. Life memberships are subject to the authorization limit established by the Board of Directors as to total number to be accepted. Currently, the limit on the number of Life Memberships shall be 15% of the total number of regular individual memberships. Life Membership requests require approval by a majority vote of the Board of Directors. Applicants for Life Membership must be members in good standing for a period of at least five (5) consecutive years as of the time the applicant applies for Life Membership.
5. A “reserve” fund shall be established and maintained which will be equal to the current number of Life Members in the Association multiplied by the current cost of Life Membership limited to a maximum balance of \$100,000.00.
6. All checks for membership must be made payable to “Smith & Wesson Collectors Association” or “S&WCA.”
7. Delinquent payment of dues, 30 days, shall be assessed a penalty of \$10.00.
8. Membership outside Canada and the U.S.A. shall be assessed an additional amount for the cost of postage.
9. Members whose dues are not paid by February 1, without the approval of the Chairman and/or President, shall no longer be members in good standing.

SMITH & WESSON COLLECTORS ASSOCIATION DISPLAY AND EXPENSE REIMBURSEMENT POLICY FOR THE NRA ANNUAL MEETING

**AS REVISED BY THE BOARD OF DIRECTORS
JUNE 3, 2015**

It is the policy of the Smith & Wesson Collectors Association ("Association") to prepare, or provide for, a display related to the historical education of the Smith & Wesson at NRA Annual Meetings. This favorably represents our organization and our membership to the entire spectrum of the gun collecting community.

All decisions regarding this display shall be made by the S&WCA "NRA Display Committee" consisting of the S&WCA Board Chairman, S&WCA Treasurer and S&WCA Administrator. Consideration will be given to the current and past Founders Award winners, the geographic location of the meeting and any other factors as the committee may deem relevant.

Expenses incurred by an S&WCA member exhibitor ("exhibitor") in attending and displaying at an NRA Annual Meeting will be paid by the Association in accordance with this policy. In order to comply with Internal Revenue Service (IRS) regulations, the following rules must be observed by exhibitor concerning reimbursement of expenses incurred at NRA Meetings while exhibiting for the Association. Exhibitor must provide a detailed Reimbursement Request Statement ("accounting") which describes each expense to be reimbursed:

Travel Expenses paid by S&WCA Exhibitor:

1. The Association will reimburse exhibitor for mileage incurred for travel directly to and from the NRA Meetings and the home of the exhibitor at the rate established by the IRS during the time of the Meetings. Exhibitor must provide the itinerary mileage in the exhibitor's accounting to the Association. The accounting must provide the date left and returned for each trip and number of days incurred for the entire trip.

2. The Association will reimburse the exhibitor for lodging for the exhibitor and spouse while in transit directly to and from the NRA Meetings and the home of the exhibitor and while in attendance at the NRA Meetings. The exhibitor must provide the Association with hotel/motel bills with components of the hotel/motel charges for all lodging expenses with their accounting; credit card statements are not acceptable.

3. The Association will reimburse the exhibitor for meals for the exhibitor and spouse incurred while in transit to and from the NRA Meetings and the home of the exhibitor and while in attendance at the NRA Meetings. The exhibitor must provide the Association with written vendor receipts for all meals incurred with their accounting.

Display Expenses Paid by S&WCA Exhibitor:

The Association will reimburse the exhibitor for supplies and equipment related to the exhibitor's display. The exhibitor must provide the Association with written vendor receipts for all such items and describe their relation to the exhibitor's display in the accounting the exhibitor provides the Association.

Maximum Allowable Reimbursement Per Display:

Reimbursement of **Travel and Display Expenses** shall not exceed \$3,000.00 unless a detailed budget is submitted to the S&WCA Treasurer for approval prior to the display event. If such a budget is submitted, the maximum allowable reimbursement may be increased to \$5,000.00.

NRA Charged Expenses:

In addition to the above **Travel and Display Expenses**, the S&WCA will reimburse the exhibitor for expenses charged by the NRA and related outside vendors for the display booth(s), including display cases and floor covering. The exhibitor must provide the Association with vendor receipts and a description of these items with the Accounting they provide to the Association.

The exhibitor assumes responsibility for all display materials, firearms and memorabilia. S&WCA is not responsible for any items lost, stolen or damaged before, during or after display.

SMITH & WESSON COLLECTORS ASSOCIATION MISCELLANEOUS POLICIES

1. As a reward for their generosity, the member contributing the item(s) that brings the highest price at the S&WCA Annual Auction shall be awarded free registration to a following Annual Show/Meeting (Symposium) of their choice.
2. Applications for membership that do not include a signature from a member sponsor or a reputable non-member may instead be contacted directly by the Chairman or his designee for the application to proceed. **The “sponsor” and “21 years of age” requirement for S&WCA membership were eliminated by Board action at the June 14, 2017, Board of Directors meeting.**
3. As a reward for their significant efforts, the Event Coordinator and his spouse shall be awarded free registration to the Annual Meeting/Show.
4. The Association will allow a member to attend an Annual Show/Meeting for one day at a cost of \$75.00 for the member; in addition, the member who pays to attend for one-day shall be allowed to be accompanied by their spouse at no cost. (adopted by the BOD at its May 28, 2014 meeting)
5. The Theme of the Annual Show/Meeting shall be approved by the officers of the S&WCA. Consideration will be given to the volunteers and others; anniversaries and other special occasions will be given full consideration in the final determination of the Theme (Adopted by the Board of Directors, June 6, 2007). **On December 17, 2018, the adoption of a “Theme” at the S&WCA annual Symposiums was dropped by action of the Board of Directors via the use of the “internet.”**
6. Per action by the Board of Directors at its regular Board meeting held June 6, 2007, the position of Treasurer of the Association may also use the title of “Chief Financial Officer.”